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12-14-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on October 25, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL631006804US**, addressed to the Commissioner for Patents, Washington, DC 20231.

*Aurelia Sanchez*  
Aurelia Sanchez

Attorney Docket No.: SUN1P722/P5658

First Named Inventor: KUPFER

JC580 U.S. PTO  
10/014640  
10/25/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Regular application claiming priority of a provisional)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Michael D. Kupfer**

For: **MANDATORY LOCKING MECHANISMS FOR UNIX FILE SYSTEMS**

Assigned to: Sun Microsystems, Inc.

Priority of U.S. Provisional Application No. 60/245,406 filed on November 1, 2000 is claimed under 35 U.S.C. § 119(e).

**Application Elements:**

- ☒ **18** Pages of Specification, Claims and Abstract  
☒ **05** Sheets of formal Drawings  
☒ Combined Declaration and Power of Attorney  
☐ Separate Declaration

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.  
☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Separate Power of Attorney by Assignee  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard

Fee Calculation (37 CFR § 1.16)

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Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	29	MINUS	20	= 09	x 18	162.00
INDEP.	06	MINUS	3	= 03	x 84	252.00
[ ] First presentation of multiple dependent claim					\$280	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740	740.00
TOTAL						1154.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

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Check No. 12831 in the amount of \$1194.00 is enclosed.

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The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SUN1P722).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

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Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SUN1P722).

☒

Please send correspondence to the following address:

Customer Number 022434



22434

PATENT TRADEMARK OFFICE

Date: OCTOBER 25, 2001

*C. Douglass Thomas*

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Registration No. 32,947